



Revision 1



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Operation: Ranch CB Registration No. PLc-PGFS-2639-6 PrimusGFS  
**Corrective Action Report** PrimusGFS ID #15214 Version 1.6 – Feb 10  
 Audited by PrimusLabs

**Organization:** HEALTHY MANGOS S.A. DE C.V.  
**Contact(s):** BONIFACIO BUSTAMANTE  
**Address:** PROLONGACION LIBERTAD S/N COL. CENTRO 82400  
**Location:** Escuinapa Sinaloa Mexico  
**Phone:** 69595 3 22 92

**Ranch:** el pozole  
**Contact:** ERNESTO LOPEZ  
**Location:** el pozole, sinaloa el pozole, villa union, mazatlan, sinaloa, mexico

**Shipper:** Coast Tropical

**Operation Type:** Ranch  
**Date Audit Started:** 07/04/2012 13:00  
**Date Audit Finished:** 07/05/2012 17:00  
**Product(s):** Mangoes  
**Auditor:** [Evelia Melendres](#) (PrimusLabs)  
**Average Score of the Audit:** 77.57%  
**Score after acceptance of corrective actions:** 90.83%  
**GPS Coordinates:** **Latitude:** 28° 12' 1" **Longitude:** -106° 14' 16"

Audit Scoring Summary	Pre-Corrective Action Review	Post-Corrective Action Review
Food Safety Management System Requirements	Score: 53 Possible Points: 177 Percent Score: 29.94%	Score: 141 Possible Points: 177 Percent Score: 79.66%
Good Agricultural Practices Requirements	Score: 649 Possible Points: 728 Percent Score: 89.15%	Score: 681 Possible Points: 728 Percent Score: 93.54%
<b>Total:</b>	Score: 702 Possible Points: 905 Percent Score: 77.57%	Score: 822 Possible Points: 905 Percent Score: 90.83%

**FSMS – Management System**

**1.01.03** Is there a detailed organizational structure chart of all employees whose activities affect food safety?

**Auditor Answer:** Major Deficiency **Approved**

**Auditor Comments:** MJ. The company had a organizational chart, the which not includes description of activities of employees related to food safety.

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

se envia organigrama actualizado y la descripcion del puesto del jefe de inocuidad que es encargado de hacer las compras del material de inocuidad

[File 1](#)  
[File 2](#)

**Decision for Corrective Action?**

Accepted

**New Answer after Corrective Actions Acceptance:**

Total Compliance

**CB Comments**

The corrective actions was completed. The information was complemented with answer of 1.01.04. description of activities of all posts related with food safety.

**1.01.04** Is there a food safety committee and are there logs of food safety meetings with topics covered and attendees?

**Auditor Answer:** Non-Compliance **Approved**

**Auditor Comments:** No. They not had committee of food safety formed, they not had meetings of food safety.

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

se envia lista de equipo de inocuidad y reuniones realizadas despues de la auditoria

- [File 1](#)
- [File 2](#)
- [File 3](#)
- [File 4](#)
- [File 5](#)

**Decision for Corrective Action?**

Accepted

**New Answer after Corrective Actions Acceptance:**

Total Compliance

**CB Comments**

Total compliance.

**1.01.05** Is there documented management verification of the entire food safety management system on at least an annual basis?

**Auditor Answer:** Major Deficiency **Rejected**

**Auditor Comments:** MJ. The company not had documented verification complete of food safety management system, only had internal audit for BPA of food safety program and these not had information completed of findings.

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

se envia documento de verificacion de inocuidad

- [File 1](#)
- [File 2](#)
- [File 3](#)
- [File 4](#)
- [File 5](#)
- [File 6](#)
- [File 7](#)
- [File 8](#)
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- [File 13](#)
- [File 14](#)
- [File 15](#)
- [File 16](#)
- [File 17](#)
- [File 18](#)
- [File 19](#)

**Decision for Corrective Action?**

Rejected

**CB Comments**

The corrective actions did not been completed. The information sent in this question does not refer to the verification of the SAIA.

**1.01.06** Is there a documented analysis detailing resources required to implement and improve the food safety management system processes with documented commitment from senior management to provide these resources?

**Auditor Answer:** Major Deficiency **Approved**

**Auditor Comments:** MJ. They not had a documented analysis detailed of the resources for the food safety system, including material resources, human and required services, Only had a document signed by the manager of commitment to provide resources for food safety program.

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

se envia lista de recursos requeridos para la inocuidad

[File 1](#)

[File 2](#)

**Decision for Corrective Action?**

Accepted

**New Answer after Corrective Actions Acceptance:**

Minor Deficiency

**CB Comments**

The corrective actions did not been completed. This information not has programme of resources, materials, to future, that are needed to maintain and improve the food safety program. This document not has signature of acceptance of general manager.

**FSMS – Procedures and Corrective Actions**

**1.03.03** Is there a corrective action procedure that describes the requirements for follow up and prevention of future occurrences?

**Auditor Answer:** Non-Compliance **Approved**

**Auditor Comments:** No. The company had not a general procedure of remedial actions it includes prevention of future occurrences to found nonconformities.

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

se envia procedimiento de acciones correctivas

[File 1](#)

[File 2](#)

[File 3](#)

**Decision for Corrective Action?**

Accepted

**New Answer after Corrective Actions Acceptance:**

Major Deficiency

**CB Comments**

The corrective actions did not been completed. This document sending no has content to procedure action, only indicates the tracking for the form of non-conformity.

**FSMS – Internal and external inspections**

**1.04.04** Are there records of regulatory inspections and/or contracted inspections, company responses and corrective actions, if any?

**Auditor Answer:** Non-Compliance **Approved**

**Auditor Comments:** No. The Organization had previous contracted audits of year 2010, but they had not report of audit, was not showed.

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

se envian acciones correctivas para esta auditoria.

[File 1](#)

[File 2](#)

**Decision for Corrective Action?**

Accepted

**New Answer after Corrective Actions Acceptance:**

Major Deficiency

**CB Comments**

The corrective actions were not completed. This document send only is to 1 ranch audited, this module SAIA is for 7 ranches GFS.

**FSMS – Rejection and release of product**

**1.05.01** Is there a written procedure for handling on hold or rejected products?

**Auditor Answer:** Non-Compliance **Approved**

**Auditor Comments:** No. The organization had not procedure for rejection and internal product Liberation, had not objectives and responsibilities.

**Organization Corrective Action Response:**  
The following Corrective Action has been performed

**Organization Corrective Action Comments:**  
se envia procedimiento para producto retenido o rechazado

[File 1](#)

**Decision for Corrective Action?**  
Accepted

**New Answer after Corrective Actions Acceptance:**  
Total Compliance

**CB Comments**  
Total compliance.

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**1.05.02** Are product release procedures implemented (e.g. lot signed out, when a product lot sample is undergoing an analysis, etc.) and are records available for review?

**Auditor Answer:** Non-Compliance **Approved**

**Auditor Comments:** No. The organization had not procedures for Rejection and Liberation internal product, had not records of them.

**Organization Corrective Action Response:**  
The following Corrective Action has been performed

**Organization Corrective Action Comments:**  
en este procedimiento se refiere en caso del producto cuando esta siendo analizado, este en espera no se tiene registro porque no nos a pasado ni un caso de estos.

[File 1](#)

**Decision for Corrective Action?**  
Accepted

**New Answer after Corrective Actions Acceptance:**  
Total Compliance

**CB Comments**  
Total compliance.

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**1.05.03** Is there a documented system for dealing with customer complaints and buyer food safety complaints and are those on file, along with company responses, including corrective actions?

**Auditor Answer:** Non-Compliance **Approved**

**Auditor Comments:** No. The company have not procedure of complaints of client or format to includes description of the complaint, remedial action, etc.

**Organization Corrective Action Response:**  
The following Corrective Action has been performed

**Organization Corrective Action Comments:**  
se envia procedimiento de queja de clientes y formato para la reclamacion de alguna queja.

[File 1](#)  
[File 2](#)

**Decision for Corrective Action?**  
Accepted

**New Answer after Corrective Actions Acceptance:**  
Total Compliance

**CB Comments**  
Total compliance.

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**1.06.01** Are there current written specifications for all ingredients, materials, products and services purchased provided that relate to product safety, are they easily accessed and there is a review process in place for the specifications?

**Auditor Answer:** Major Deficiency **Approved**

**Auditor Comments:** MJ. The organization had not all the specifications written of the materials and services that are related to the food safety, only had some guarantee document of some suppliers such as fertilizer, agrochemicals.

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

aqui se especifica el uso del material utilizado para la inocuidad

[File 1](#)

[File 2](#)

**Decision for Corrective Action?**

Accepted

**New Answer after Corrective Actions Acceptance:**

Minor Deficiency

**CB Comments**

The corrective action did not been completed.

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**1.06.02** Is there a list of approved suppliers?

**Auditor Answer:** Non-Compliance **Approved**

**Auditor Comments:** No.They did not count with a listing or registry of approved suppliers .

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

se muestrala lista de proveedores aprobados para la inocuidad

[File 1](#)

[File 2](#)

**Decision for Corrective Action?**

Accepted

**New Answer after Corrective Actions Acceptance:**

Total Compliance

**CB Comments**

Total compliance.

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**1.06.03** Is there a written procedure detailing the selection, evaluation, approval and monitoring process of approved suppliers?

**Auditor Answer:** Non-Compliance **Approved**

**Auditor Comments:** No. The organization had not a procedure for evaluate suppliers.

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

se envia procedimiento de seleccion,evaluacion y aprobacion de proveedores

[File 1](#)

[File 2](#)

**Decision for Corrective Action?**

Accepted

**New Answer after Corrective Actions Acceptance:**

Total Compliance

**CB Comments**

Total compliance.

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**1.06.05** Does the organization have documented evidence to ensure that packaging, materials and services suppliers comply with specifications, regulatory requirements and best practice guidelines?

**Auditor Answer:** Non-Compliance **Rejected**

**Auditor Comments:** No. The company have not documented a guaranty of services suppliers, such as sanitary toilets baths, plastics boxes for harvest,

among others. They not packing in field, not used materials of packaging.

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

se envia documentacion que nos envio nuestro proveedor

[File 1](#)

[File 2](#)

**Decision for Corrective Action?**

Rejected

**CB Comments**

The corrective actions was no completed. The information submitted does not correspond to what is required, only refer to fertilizer and agrochemicals.

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## FSMS – Traceability and Recall

**1.07.01** Is there a documented account that indicates how the company product tracking system works, thereby enabling trace back and trace forward to occur in the event of a potential recall issue?

**Auditor Answer:** Non-Compliance

**Approved**

**Auditor Comments:** No. The organization not counted with a program of Trazabilidad for tracking of the product.

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

se envia documento de trazabilidad en la unidad de produccion

[File 1](#)

[File 2](#)

[File 3](#)

**Decision for Corrective Action?**

Accepted

**New Answer after Corrective Actions Acceptance:**

Total Compliance

**CB Comments**

Total compiiance.

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**1.07.02** Does the organization have a documented recall program including: procedures, recall team roles and contact details, external contact listings, explanation of different types (classes) of recalls?

**Auditor Answer:** Non-Compliance

**Approved**

**Auditor Comments:** No. The company had not a program for product recovery.

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

se envia procedimiento de recuperacion de producto

[File 1](#)

[File 2](#)

**Decision for Corrective Action?**

Accepted

**New Answer after Corrective Actions Acceptance:**

Minor Deficiency

**CB Comments**

The corrective actions was not completed. No included information of external contact to recall.

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**1.07.03** Is testing of recall procedures (including trace back) performed and documented annually? Can the company identify where affected product was sent?

**Auditor Answer:** Non-Compliance

**Approved**

**Auditor Comments:** No. The organization not realized a recovery of products forwards and back.

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

se envia simulacro de recuperacion

- [File 1](#)
- [File 2](#)
- [File 3](#)
- [File 4](#)
- [File 5](#)
- [File 6](#)

**Decision for Corrective Action?**

Accepted

**New Answer after Corrective Actions Acceptance:**

Total Compliance

**CB Comments**

Total compliance.

**1.07.04** Is there a daily incidents report, sometimes called a Notice(s) of Unusual Occurrence and Corrective Actions Log (NUOCA) ?

**Auditor Answer:** Non-Compliance

**Approved**

**Auditor Comments:** No. The company had not a format to register daily incidents or unusual event.

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

se envia registro de acontecimientos inusuales o situaciones fuera de especificacion

- [File 1](#)

**Decision for Corrective Action?**

Accepted

**New Answer after Corrective Actions Acceptance:**

Total Compliance

**CB Comments**

Total compliance.

**FSMS – Product testing**

**1.08.01** Based on risk assessment, is there scheduled testing program for raw materials, work in progress, packaging and finished goods?

**Auditor Answer:** Non-Compliance

**Approved**

**Auditor Comments:** No. The organization had not risk assessment, they had not testing program of your products(mangoes).

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

se envia analisis de peligros y analisis de plaguicidas en producto cosechado

- [File 1](#)
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- [File 55](#)
- [File 56](#)
- [File 57](#)

**Decision for Corrective Action?**

Accepted

**New Answer after Corrective Actions Acceptance:**

Total Compliance

**CB Comments**

Total compliance.

**GAP – Adjacent land use**

**2.04.02** Are, or is there evidence of domestic animals, wild animals, grazing lands (includes homes with hobby farms, and non commercial livestock) in proximity to growing operation? If No, go to 2.04.03

**Auditor Answer:** Yes **Rejected**

**Auditor Comments:** Yes. Was observed some animals livestock in proximities of two fields, to distance of 20m approximately.

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

SE COLO HULE EN CERCOS EN PARTES DONDE SE OBSERVARON VACAS PARA PREVENIR EL ACCESO DE ANIMALES O DERRAMES DE AGUA Y PUEDAN INTRODUCIR ESCREMENTO DE ANIMALES.

- [File 1](#)
- [File 2](#)
- [File 3](#)

**Decision for Corrective Action?**

Rejected

**CB Comments**

The corrective action did not completed. The barriers were not properly placed to prevent spills or other risk situations

**2.04.02a** Have physical measures been put in place to restrain domestic animals, grazing lands, (includes homes with hobby farms, and non commercial livestock) and their waste from entering the growing area (e.g. vegetative strips, wind breaks, physical barriers, berms, fences, diversion ditches.)?

**Auditor Answer:** No **Rejected**

**Auditor Comments:** No. The Physical barriers were not sufficient to prevent spillage of waste from livestock in some areas not well protected by these barriers. The orchard mangoes had a wires fence(some parts in poor conditions, behind of irrigation system) and some vegetative stripes.

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

SE COLOCO HULE EN CERCO DONDE SE OBERVARON VACAS.

[File 1](#)

[File 2](#)

**Decision for Corrective Action?**

Rejected

**CB Comments**

The corrective actions did not completed. The barriers were not properly placed to prevent spills or other risk situations

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**GAP – Fertilizer/Crop Nutrition**

**2.07.07b** Are there Certificate(s) of Analysis (COA), letters of guarantee or some other documents from the inorganic fertilizer supplier(s) that specifies the source of all the ingredients including inert materials?

**Auditor Answer:** No **Approved**

**Auditor Comments:** No. The company had not provider guarantee letter, had not specifying of ingredients of the materials are free of heavy metals and strange substances.

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

SE ENVIA DOCUMENTACION QUE ENVIO EL PROVEEDOR

[File 1](#)

[File 2](#)

**Decision for Corrective Action?**

Accepted

**New Answer after Corrective Actions Acceptance:**

Yes

**CB Comments**

Total compliance.

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**GAP – Irrigation/Water Use**

**2.08.03c** Is it evident that the well(s) is free from contamination issues and are measures taken to minimize contamination of wells?

**Auditor Answer:** No **Approved**

**Auditor Comments:** No. In the well was observed with presence of older equipment on around of well near of suction entry, plastic broken boxes and some trash.

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

se envia foto de limpieza de pozo

[File 1](#)

[File 2](#)

**Decision for Corrective Action?**

Accepted

**New Answer after Corrective Actions Acceptance:**

Yes

**CB Comments**

Total compliance.

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<b>2.08.03d</b>	Are records kept for periodic inspections and treatment of wells (if performed) available for review?	
<b>Auditor Answer:</b>	No	<b>Rejected</b>
<b>Auditor Comments:</b>	No. The company recorded weekly inspections of the well, however did not have any observation or correctives action on the findings observed, see 2.8.03c.	
<b>Organization Corrective Action Response:</b>	The following Corrective Action has been performed	
<b>Organization Corrective Action Comments:</b>	se envia registro con accion correctiva	
	<a href="#">File 1</a>	
<b>Decision for Corrective Action?</b>	Rejected	
<b>CB Comments</b>	The corrective action did not completed. They only a registry submitted, is not enough.	

## GAP – Crop Protection

<b>2.09.01</b>	Is there a documented policy and/or procedures for the mixing/loading of crop protection materials?	
<b>Auditor Answer:</b>	No	<b>Approved</b>
<b>Auditor Comments:</b>	No. The company had not procedure for preparation of mixtures, they had POE 7 procedure of agrochemicals, however it does not included mixing and loading of the crop protection materials.	
<b>Organization Corrective Action Response:</b>	The following Corrective Action has been performed	
<b>Organization Corrective Action Comments:</b>	se envia actualizado POE 7.–preparacion de agroquimicos	
	<a href="#">File 1</a>	
	<a href="#">File 2</a>	
	<a href="#">File 3</a>	
<b>Decision for Corrective Action?</b>	Accepted	
<b>New Answer after Corrective Actions Acceptance:</b>	Yes	
<b>CB Comments</b>	Total compliance.	

<b>2.09.02</b>	Is there a documented policy and/or procedures for the rinsing and cleaning of crop protection equipment?	
<b>Auditor Answer:</b>	No	<b>Approved</b>
<b>Auditor Comments:</b>	No. The company had not procedure or politics for cleaning of application equipment of materials crop protection.	
<b>Organization Corrective Action Response:</b>	The following Corrective Action has been performed	
<b>Organization Corrective Action Comments:</b>	se envia POES 10.– calibracion y limpieza de los equipos de aplicacion	
	<a href="#">File 1</a>	
	<a href="#">File 2</a>	
<b>Decision for Corrective Action?</b>	Accepted	
<b>New Answer after Corrective Actions Acceptance:</b>	Yes	
<b>CB Comments</b>	Total compliance.	

## GAP – Field Employee Hygiene (Applies to on–the–farm or greenhouse workers not the harvesting workers)

**2.10.04** Does the growing operation have documented and implemented policies prohibiting eating, drinking (including gum chewing) using tobacco in the growing area?

**Auditor Answer:** No **Rejected**

**Auditor Comments:** No. Had documented a politic, prohibited eat in the orchard of mangos was not implemented, was observed a group of employees harvest, they putting some bag with food in mangoes tree and some soft drinks packaging.

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

se limpio el area endonde se observo basura

[File 1](#)

[File 2](#)

[File 3](#)

**Decision for Corrective Action?**

Rejected

**CB Comments**

The corrective actions did not completed. The evidence is temporary correction, is not enough.

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**2.10.06g** Is there is a documented and implemented procedure for emptying the catch basin in a hygienic manner and also in a way that prevent product, packaging, equipment and water systems and growing area contamination?

**Auditor Answer:** No **Approved**

**Auditor Comments:** No. The company had not a procedure of cleaning of Toilet facility.

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

se envia POES 9.- limpieza y desinfeccion de baños portatiles

[File 1](#)

[File 2](#)

[File 3](#)

[File 4](#)

**Decision for Corrective Action?**

Accepted

**New Answer after Corrective Actions Acceptance:**

Yes

**CB Comments**

Total compliance.

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**2.10.08c** Are hand wash stations properly stocked with soap, paper towels and trash can?

**Auditor Answer:** No **Rejected**

**Auditor Comments:** No. The stations of washing of hands not counted with water during this inspection, only had antibacterial soap, towels of drying paper and trash cans.

**Organization Corrective Action Response:**

The following Corrective Action has been performed

**Organization Corrective Action Comments:**

se les echo agua al tambo de lava manos para el personal

[File 1](#)

**Decision for Corrective Action?**

Rejected

**CB Comments**

The corrective actions did not completed. The evidence is temporary correction, is not enough.

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